

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David Michalovich, *et al.* : Art Unit:  
Serial No.: To Be Assigned : Examiner:  
Filed: Herewith :  
For: NOVEL COMPOUNDS :

j1040 U.S. PRO  
09/943689  
08/31/01

**Divisional of:**

Applicants: David Michalovich, *et al.* : Art Unit: 1653  
Serial No.: 09/184,001 : Examiner: R. Mitra  
Filed: November 2, 1998 :  
For: NOVEL COMPOUNDS :

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO-1449 (R&P) submitted herewith. Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed Form PTO-1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application, Serial No. 09/184,001, filed November 2, 1998, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed with this application. Thus, no fee is required. 37 C.F.R. §1.97.

Respectfully submitted,

RATNER & PRESTIA

  
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PAM/mjc

Enclosure: Form PTO-1449

Dated: August 31, 2001

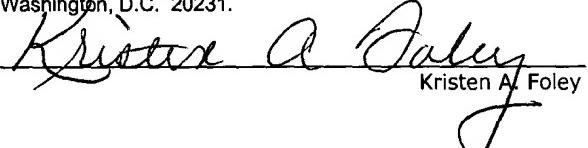
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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 19-2387 of any fees associated with this communication.

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
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Kristen A. Foley

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GP-30088-D1	SERIAL NO TO BE ASSIGNED
<b>Information Disclosure Statement by Applicant</b>  (Use several sheets if necessary)		APPLICANT David Michalovich, et al.	
		FILING DATE Herewith	GROUP

1040 U.S. PTO  
09/943689**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub Class	Filing Date

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Country	Class	Sub Class	Translation YES	NO
		WO 98/20023	05/14/98	EPO				
		WO 99/03993	01/28/99	EPO				

**OTHER DOCUMENTS**  
(Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|--|--|
|  |  | 1. EMBL Accession No. AF038187 (23-JAN-1998)   |
|  |  | 2. Copy of EP Search Report 98203654.3 (8/10/99)   |
|  |  | 3. Hilton et al. "Twenty proteins containing a C-terminal SOCS box form five structural classes", <i>Proc. Natl. Acad. Sci., USA</i> , Vol. 95, pages 114-119 (1998) |
|  |  | 4. GenBank Accession No. AF033188 (13-JAN-1998)  |
|  |  | 5. GenBank Accession No. T74003 (21-MAR-1995)  |
|  |  | 6. GenBank Accession No. AA083653 (14-JAN-1997)  |
|  |  | 7. GenBank Accession No. R59950 (29-MAY-1995)  |
|  |  | 8. GenBank Accession No. R58013 (29-MAY-1995)  |
|  |  | 9. GenBank Accession No. AA776641 (06-FEB-1998)  |
|  |  | 10. GenBank Accession No. AA147416 (14-DEC-1996)   |
|  |  | 11. Hillier et al., EST Accession No. W47621 (10/1996)   |

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.